We, , as a supplier of b+m surface systems GmbH (hereinafter referred to as b+m), confirm our compliance with the requirements listed below.

1. **Conflict minerals (Appendix 1)**

The term “conflict minerals” is used to designate critical raw materials mined in the Democratic Republic of Congo (DRC) or any of its nine adjoining countries under conditions of violent conflicts. This relates to the so-called “3TG minerals” such as tin (cassiterite), wolfram (tungsten), tantalum (columbite-tantalite) and gold. These minerals are vital for the manufacture of high-tech devices that can be found in electronics, the automotive sector or the construction industry.

b+m makes every effort to request a conflict mineral report from its suppliers or obtain confirmation that such minerals are sourced from “non-conflict regions”. We take appropriate measures to avoid the use of raw materials in our products, which directly or indirectly finance armed groups who violate human rights.

1. Are you using materials, parts, products or services that contain any of the conflict minerals listed above? /
	1. [ ]  **Yes**
	2. [ ]  **No**
	3. [ ]  **Unsure**
2. If “Yes” or “Unsure” was your answer to question I: Have you verified whether any of your suppliers are using conflict minerals from conflict-affected nations and are the results documented?
	1. [ ]  **Yes**
	2. [ ]  **No**
3. If “**No**” was your answer to question II:

When are you planning to start with the careful examination of your suppliers?

Date Click here to enter a date.

When do you expect the examinations to be concluded and to arrive at a definite answer?

Date Click here to enter a date.

*Please completely fill in the additional questionnaire “Appendix 1 CMRT Template”.*

**Background:**The Dodd-Frank Wall Street Reform and Consumer Protection Act, Section 1502, was passed by the U.S. Congress in 2010 in an effort to help end the violent conflicts in the Democratic Republic of Congo and its bordering countries. According to this law, US listed companies are obligated to check whether conflict minerals are required for the functionality or the manufacture of any of their products, and if so, if such minerals are sourced from the Democratic Republic of Congo and/or bordering countries. As part of a disclosure statement, companies must provide the U.S. Securities and Exchange Commission (SEC) with a report where due diligence measures are detailed such as independent audits conducted by third parties. This also affects **non-U.S. listed companies** because **verification** has to be provided **that covers the entire supply chain**.

1. **Packaging guidelines and transport**

b+m wants to contribute to the preservation of natural resources. We expect our suppliers to comply with the points listed below:

* Refrain from using packaging to the extent possible while meeting the product protection requirements
* Ensure reuse and recyclability of packaging
* Accept returned packaging and packaging waste
* Minimize the number of transport operations required for the delivery of components
* Solid wood packaging must comply with the directives specified by ISPM 15 (International Standards for Phytosanitary Measures No. 15) of the International Plant Protection Convention (IPPC). Solid wood packaging is mandatory for exports into countries in accordance with the applicable list of countries issued by the national plant protection services and/or plant protection authorities.
1. **Economic sanctions and control of exports**

Owing to a product property, the possible use of a product or issued embargoes or sanctions, regulations could be put in place that could result in trade restrictions or trade prohibitions affecting individuals, groups of individuals, institutions, and/or countries.

We, as a supplier of b+m surface systems GmbH, confirm that we have received information about the national, European and U.S. laws concerning export controls.

Upon request by the ordering party, we will make available without delay all the information regarding all the requested or delivered products as well as their possible use for the purpose of checking any existing trade restriction. Such data may be used to apply for export licences at the relevant licensing agencies (customs, Federal Office of Economics and Export Control (BAFA)).

Furthermore, it will be possible to define the proportion of US material installed, if necessary.

The ordering party is to be informed immediately in the case of positive knowledge about the delivered product being listed as defined by Annex I of the EU Regulation No. 2021/821 dated 20 May 2021 or the current consolidated version as well as the German Foreign Trade Regulations. The same applies if the product is listed in the U.S. Commerce Control List.

1. **Environmental standards and environmental compatibility**

We, as a downstream user, confirm that internal company regulations are in place to ensure that substances that are prohibited or that require licensing or declaration are identified and excluded for all products to be delivered to b+m in compliance with the regulations listed below:

* For confirmation of your compliance with **REACH**-legislation (Regulation No. 1907/2006/EC),
please see and use
	+ ***Appendix 2***
* For confirmation of your compliance with the Directive 2011/65/EU on the restriction of the use of certain hazardous substances (**RoHS**) in electrical and electronic equipment, please see and use
	+ ***Appendix 3***
* The supplier undertakes not to supply any products that do not fulfil the applicable substance bans or restriction conditions in Annexes I or II of the POP Regulation (Regulation EU 2019/1021 on persistent organic pollutants).

[ ]  **Yes /** [ ]  **No**

* The information flow is ensured with respect to substances that are prohibited or require declaration in the scopes of supply within the supply chain, both downstream to the sub-supplier and upstream to b+m, including updated supplier declarations due to modifications or extensions of the legal framework.

[ ]  **Yes /** [ ]  **No**

* Avoidance and/or reduction of hazardous substances in all areas (packaging, production) in accordance with the substitution requirement based on the Ordinance on Hazardous Substances (Gefahrstoffverordnung (GefStV))

[ ]  **Yes /** [ ]  **No**

We hereby confirm the correctness of the above information to the best of our knowledge and belief. We undertake to inform b+m immediately in the case of non-compliance or changes to the confirmed content.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

place, date signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

name (in block letters), position company stamp

1. **Appendices**

Please send the appendices (1 to 3) together with the signed document to:

**materialcompliance@bm-systems.com**

|  |  |
| --- | --- |
| Appendix 1: CMRT template ([Link bm website](https://www.bm-systems.com/en/bm-surface-systems-gmbh-painting-plants-painting-robots/quality-and-environment/)) | Appendix 2: REACH confirmation([Link bm website](https://www.bm-systems.com/en/bm-surface-systems-gmbh-painting-plants-painting-robots/quality-and-environment/)) |
| Appendix 3: RoHS confirmation ([Link bm website](https://www.bm-systems.com/en/bm-surface-systems-gmbh-painting-plants-painting-robots/quality-and-environment/)) |  |